

# RESIDENTIAL FIRST MORTGAGE NOTIFICATION FORM

## STATE OF UTAH Department of Financial Institutions

324 South State Street, Suite 201, SLC, UT 84111

Mailing Address: P.O. Box 146800, Salt Lake City, UT 84114-6800

www.dfi.utah.gov

Fax: (801) 538-8894

Tel: (801) 538-8830

1) Utah Business Name and Mailing Address	Do Not Write In This Space
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If (1) you **service** residential 1<sup>st</sup> mortgage loans on properties in Utah, (2) you are a depository institution or an affiliate of a **depository** institution and you **broker, or lend** on closed end residential first mortgage loans on Utah properties, or (3) you are a loan wholesaler, you are subject to the Mortgage Lending and Servicing Act, Title 70D of the Utah Code, and you should file this notification.

The following are **exempt from filing this notification**, but otherwise subject to the provisions of the act:

- All parties authorized under Utah or federal law to do business as a depository institution.
- All wholly-owned subsidiaries of such depository institutions.
- All non-depository institution brokers and lenders that are registered with the Utah Division of **Real Estate** under Title 61-2c, Utah Residential Mortgage Practices Act (with the exception of servicers – who are still required to file this notification).

### MORTGAGE NOTIFICATION FEE

If this is your **initial** notification, please include the initial fee of \$200, and check here \_\_\_\_\_. Notification should be filed with the Department of Financial Institutions at least 30 days before commencing business in Utah.

If this is a **renewal**, include \$100 and check here \_\_\_\_\_. Renewals are due on or before January 31 of each year.

Complete all information below and your mailing address in box 1 above, and return the signed form to the Department of Financial Institutions at the above address with the applicable fee in the form of a check or money order made payable to: Department of Financial Institutions.

2) Name of mortgage lender, broker, or servicer \_\_\_\_\_

3) Name registered with the Utah Division of Corporations \_\_\_\_\_

4) Address of principal business office \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Telephone \_\_\_\_\_

5) Addresses of all branch offices in Utah, if any (attach separate sheet if necessary)

6) Name / Address of contact person for complaint resolution \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_ Telephone \_\_\_\_\_

7) Name / Address in Utah of registered agent upon whom service of process can be made \_\_\_\_\_

8) Email address of person responsible for filing notification \_\_\_\_\_

### Questions 9-13 apply to Utah closed end residential first mortgage loans only:

9) Are you an affiliate of a depository? Yes \_\_\_\_\_ No \_\_\_\_\_

If so, name of your affiliated depository \_\_\_\_\_

What percent of your business do they own? \_\_\_\_\_

10) Are you a mortgage loan **servicer** who in the regular course of business assumes responsibility for servicing and accepting payments for closed-end residential first mortgages? Yes \_\_\_\_\_ No \_\_\_\_\_

11) Are you a depository or affiliate **lender**, who **funds** closed-end residential first mortgages? Yes \_\_\_\_\_ No \_\_\_\_\_

12) Are you a depository or affiliate **broker**, who assists persons in obtaining closed-end residential first mortgage loans (funded by other entities) for a fee or other consideration, paid indirectly or directly? Yes \_\_\_\_\_ No \_\_\_\_\_

13) Are you a "Loan Wholesaler"? Yes \_\_\_\_\_ No \_\_\_\_\_

I hereby certify that the information provided in this form is true and correct.

Print or type:

Name \_\_\_\_\_

Title \_\_\_\_\_

Date \_\_\_\_\_ Signature \_\_\_\_\_